

ANNEX 05
TARIFFS

**CONCESSION FOR OPERATION, EXPANSION AND MAINTENANCE SERVICES FOR
THE AIRPORT COMPLEX COMPRISING THE NORTHWEST BLOCK AND THE
SOUTHEAST BLOCK**

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1. INITIAL REPORTS

1.1. Introduction.

- 1.1.1. This ANNEX provides for (i) AIRPORT TARIFFS potentially charged by the CONCESSIONAIRE; (ii) regulatory restrictions relating to how TARIFF prices are defined; (iii) information concerning AIRPORT TARIFF collection, to be provided by the CONCESSIONAIRE to ARTESP; and (iv) the collection system as well as the transfer of these sums.
- 1.1.2. Sums set out in item 3 of this ANNEX relate to the highest threshold applying to AIRPORT TARIFFS potentially charged by the CONCESSIONAIRE for purposes of offsetting activities they refer to, subject to adjustment rules as well as to the guidelines specified in Clause Ten of the AGREEMENT.
- 1.1.3. The CONCESSIONAIRE shall uphold current tariff exemptions and benefits set forth under current laws or regulatory acts. Postponement of current tariff exemptions or benefits at the submission date for PRICE PROPOSALS, in addition to circumstances that give rise to new tariff exemptions and benefits after the date of submission for PRICE PROPOSALS has concluded, shall give rise to restoration of the AGREEMENT's economic-financial balance.

1.2. Definitions.

- 1.2.1. For purposes of this ANNEX, and notwithstanding additional definitions set forth hereunder, the expressions listed below shall be defined as follows:
 - 1.2.1.1. **Cargo:** all goods transported by any means, whether for commercial purposes or not;
 - 1.2.1.2. **Cargo in Transit:** cargo under customs control, not cleared by customs for merchandise at the airport of destination, to be shipped either overseas or to other customs' warehouses located in the national territory's primary or secondary zone;
 - 1.2.1.3. **Group I:** regular and non-regular air transportation company aircraft registered for the following activities:
 - i. Regular Domestic: Brazilian air transportation companies that operate transport services, as registered with ANAC, in fulfillment of specific regulations;
 - ii. Regular International: Brazilian or foreign air transportation aircraft operating transport services that entail landing in or flying over Brazilian territory, as registered with ANAC, in fulfillment of specific regulations;
 - iii. Non-regular: cargo/and or passenger, Brazilian or foreign airline

aircraft, except for air taxi air transportation services; and

- iv. Aircraft falling within the scope of GROUP I, which operate regular domestic or international air transport flights, irrespective of operating charter, reinforcement, ferry, cargo and/or passenger flights.

1.2.1.4. **Group II:** aircraft registered for the following activities:

- i. Public: Direct Federal, State, Municipal and Federal District Administration, instruction, experimental and historical aircraft flight training; and
- ii. Private: Indirect Federal, State, Municipal and Federal District Administration, specialized aviation service operations, non-regular public air taxi air transportation services; private air charter services, experimental and historical aircraft flight training.

1.2.1.5. **MTOW:** Maximum Take-off Weight (MTOW), in tons, defined in accordance with the aircraft's Certificate of Airworthiness or any other document that may come to replace it.

1.2.1.6. **Fare-paying Passengers:** Passengers departing from the airport, including passengers waiting for connections, to whom tariff exemptions or benefits foreseen under current laws or regulatory acts do not apply.

1.2.1.7. **Tariff Revenue:** revenue stemming from boarding, connection, landing and permanence-related tariffs that apply to GROUP I operations, except for exclusively cargo and service flights, re-routing (alternative) and return flights;

1.2.1.8. **Customs' Warehouse:** physical premise(s) demarcated in the airport's grounds which are specifically geared towards handling and storing imported goods or goods to be exported, and which shall remain under customs control;

1.2.1.9. **Customs Territory Territory:** the entire national territory encompassing the:

i. **Primary Zone**

- Land or water area, continuous or interrupted, which has ports with customhouse;
- Land area with airports with customhouse; and
- Areas neighboring customs border control posts.

ii. **Secondary Zone:** remaining part of the customs territory, which

includes territorial waters and air space.

1.2.1.10. **Tariff Threshold:** maximum amount set forth in this ANNEX, and adjusted or reviewed in accordance with the terms of the AGREEMENT, and which the CONCESSIONAIRE is free to define as an AIRPORT TARIFF.

2. AIRPORT TARIFFS

2.1. Considerations.

2.1.1. The CONCESSIONAIRE shall uphold all provisions concerning AIRPORT TARIFFS set out in Law no. 6.009/1973, of ANAC Resolution no. 392/2016, and the rules that may replace them or rules that may regulate the matter.

2.1.2. TARIFFS shall be payable by USERS whenever the latter effectively use services, equipment, facilities and utilities available in the airport, and are intended to offset the CONCESSIONAIRE for services rendered as per the provisions of this ANNEX.

2.1.3. The CONCESSIONAIRE shall, as the case may be, receive compensation by means of the following AIRPORT TARIFFS:

- (i) Boarding Tariff;
- (ii) Connection Tariff;
- (iii) Landing Tariff;
- (iv) Permanence Tariff;
- (v) Storage Tariff; and
- (vi) Cargo handling Tariff.

2.1.4. AIRPORT TARIFFS offset the following services, equipment, facilities and utilities available in the Airport:

- (i) Boarding Tariff and Connection Tariff: offset the passenger terminal, encompassing, as the case may be, boarding, arrival, directions, convenience and safety, subject to current regulations.
- (ii) Landing Tariff and Permanence Tariff: respectively offset landing and taxiway runways as well as permanence areas, subject to current regulations;

2.1.4.1. In addition to complying with the provisions of current regulation, Landing and Permanence Tariffs may include a fixed element.

- (iii) Storage Tariff: offsets storage, custody and oversight services for goods stored at the Airport's Air Cargo Warehouses.
- (iv) Cargo Handling Tariff: offsets cargo movement and handling services for goods stored at the Airport's Air Cargo Warehouses.

2.1.4.2. Cargo Handling Tariffs shall be charged for Imported Cargo in Transit and for Exported Cargo in Transit in the following circumstances:

- i. Cargo shipped to other primary zone customs' warehouses located in airports, ports etc.;
- ii. Cargo in international transit currently in Brazil;
- iii. Cargo shipped to other secondary zone customs' warehouses, under special customs' transit system; and
- iv. Additional customs transit situations provided for under the applicable legislation.

3. TARIFF REGULATION

3.1. When defining each AIRPORT's TARIFF prices, the CONCESSIONAIRE is to uphold the guidelines of Clause Nine of this AGREEMENT, in addition to tariff exemptions foreseen under current laws and rules, including ANAC Resolution no. 392/2016 and Ordinance no. 219/GC-5/2001, or any other that may come to replace it.

3.2. TARIFFS charged by the CONCESSIONAIRE to USERS may not exceed TARIFF THRESHOLDS listed below, updated as per base date November 2020.

Boarding and Connection Tariffs (R\$ per departing passenger)

	Andradina, Avaré, Barretos, Dracena, Penápolis, São Manuel, Assis, Guaratinguetá, Tupã, Votuporanga	Araçatuba, Araraquara, Marília, Bauru, Pres. Prudente, Ribeirão Preto, São José do Rio Preto
Domestic flights		
Boarding	R\$ 22.57	R\$ 27.24
Connection	R\$ 6.82	R\$ 8.33

Group I Landing Tariffs (Regular Aviation)

	Andradina, Avaré, Barretos, Dracena, Penápolis, São Manuel, Assis, Guaratinguetá, Tupã, Votuporanga, Franca, São Carlos, Sorocaba, Presidente Epitácio.	Araçatuba, Araraquara, Bauru, Marília, Presidente Prudente, Registro, São José do Rio Preto
Group I Domestic Flights		
Landing (ton.)	R\$ 6.76	R\$ 8.93

Group II Landing Tariffs (General Aviation and Non-Regular)

	Andradina, Avaré, Barretos, Dracena, Penápolis, São Manuel, Assis, Guaratinguetá, Tupã, Votuporanga, Franca, São Carlos, Sorocaba	Araçatuba, Araraquara, Marília, Bauru, Pres. Prudente, Ribeirão Preto, São José do Rio Preto	Presidente Epitácio, Registro
Group II Domestic Flights PMD Ranges (ton.)			
Up to 1	R\$ 57.06	R\$ 102.05	R\$ 34.73
more than 1 and up to 2	R\$ 81.31	R\$ 102.05	R\$ 49.71
more than 2 and up to 4	R\$ 141.23	R\$ 177.59	R\$ 85.11
more than 4 and up to 6	R\$ 286.67	R\$ 358.97	R\$ 173.42
more than 6 and up to 12	R\$ 371.27	R\$ 467.31	R\$ 222.02
more than 12 and up to 24	R\$ 844.77	R\$ 1,061.60	R\$ 509.24
more than 24 and up to 48	R\$ 2,172.48	R\$ 2,724.80	R\$ 1,321.31
more than 48 and up to 100	R\$ 2,564.14	R\$ 3,224.60	R\$ 1,539.04
more than 100 and up to 200	R\$ 5,012.69	R\$ 5,261.82	R\$ 2,538.01
more than 200 and up to 300	R\$ 6,572.26	R\$ 8,305.05	R\$ 3,846.29
more than 300	R\$ 11,006.16	R\$ 13,882.99	R\$ 6,503.26

Permanence at the Maneuvering Area (R\$ per ton per hour)

	Andradina, Avaré, Barretos, Dracena, Penápolis, São Manuel, Assis, Guaratinguetá, Tupã, Votuporanga	Araçatuba, Araraquara, Marília, Bauru, Presidente Prudente	
Group I Domestic Flights			
Permanence (ton. hours)	R\$ 1,3500 R\$ 0,2800	R\$ 1.7500 R\$ 0,3700	
Group II Domestic Flights PMD Ranges (ton.)	Andradina, Avaré, Barretos, Dracena, Penápolis, São Manuel, Assis, Guaratinguetá, Tupã, Votuporanga, Franca, São Carlos, Sorocaba	Araçatuba, Araraquara, Marília, Bauru, Pres. Prudente, Ribeirão Preto, São José do Rio Preto	Presidente Epitácio, Registro
Up to 1	R\$ 18.64	R\$ 24.01	R\$ 5.26
more than 1 and up to 2	R\$ 26.61	R\$ 24.01	R\$ 7.61
more than 2 and up to 4	R\$ 26.61	R\$ 24.01	R\$ 7.61
more than 4 and up to 6	R\$ 26.61	R\$ 24.01	R\$ 7.61
more than 6 and up to 12	R\$ 26.61	R\$ 24.01	R\$ 7.61
more than 12 and up to 24	R\$ 26.66	R\$ 34.90	R\$ 12.52
more than 24 and up to 48	R\$ 53.36	R\$ 70.01	R\$ 24.89
more than 48 and up to 100	R\$ 88.46	R\$ 115.90	R\$ 41.21
more than 100 and up to 200	R\$ 200.34	R\$ 262.68	R\$ 93.61

more than 200 and up to 300	R\$ 349.27	R\$ 458.09	R\$ 162.89
more than 300	R\$ 508.00	R\$ 666.05	R\$ 237.10

- 3.2.1. TARIFF THRESHOLD prices shall come into effect starting from the AGREEMENT's EFFECTIVE DATE, at which time they shall be updated in accordance with the terms of Clause Twelve of the AGREEMENT.

4. AIRPORT TARIFF COMPENSATION REPORTS

4.1. The CONCESSIONAIRE shall submit the following monthly AIRPORT TARIFF Remuneration Reports to ARTESP until the 25th (twenty-fifth) of the month the information makes reference to:

4.1.1. Boarding and Connection Tariff Remuneration Report – RTEC; and

4.1.2. Landing and Permanence Tariff Remuneration Report – RTAP.

4.2. The RTEC shall take into account data concerning Group I passenger aircraft flights whose revenue tied to boarding or connection tariff collection or reversal has been recorded in the reference month ledger:

4.2.1. Airport Operation identification code;

4.2.2. Entry Code;

4.2.3. Entry description (new, reversal or additional)

4.2.4. Aircraft nationality mark and registration;

4.2.5. Airline or aircraft operator;

4.2.6. Flight number;

4.2.7. Date and time scheduled for flight;

4.2.8. Type of flight (domestic or international);

4.2.9. Boarding Tariff and Connection Tariff charged;

4.2.10. Number of passengers subjected to paying the Boarding Tariff and number of passengers subjected to paying the Connection Tariff;

4.2.11. Number of passengers exempted from paying the Boarding Tariff and number of passengers exempted from paying the Connection Tariff;

4.2.12. Compensation due to Boarding Tariff charged and compensation due to Connection Tariff charged;

4.2.13. Boarding Tariff and Connection Tariff payment methods (cash payment or

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retrospective payment);

4.2.14. Identification code of the Boarding Tariff or Connection Tariff billing statement;

4.2.15. Date when the Boarding Tariff or the Connection Tariff revenue was recorded in the ledger.

4.3. The RTAP shall take into account data concerning flights of aircraft that landed in the AIRPORT, and whose revenue tied to landing tariff or to permanence tariff collection or reversal at the maneuvering area or the apron has been recorded in the reference month's ledger:

4.3.1. Airport operation identification code;

4.3.2. Entry code;

4.3.3. Entry Description (new, reversal or additional);

4.3.4. Aircraft nationality mark and registration;

4.3.5. Maximum Take-Off Weight (MTOW);

4.3.6. Airline or aircraft operator;

4.3.7. Flight number;

4.3.8. Date and time scheduled for flight;

4.3.9. Type of flight (domestic or international);

4.3.10. Aircraft group;

4.3.11. Permanence time at the maneuvering area and the apron;

4.3.12. Landing Tariff charged and Permanence Tariff charged at the maneuvering area and the apron;

4.3.13. Compensation due to Landing Tariffs charged and compensation due to Permanence Tariffs charged at the maneuvering area and the apron;

4.3.14. Landing Tariff and Permanence Tariff payment method (cash payment or retrospective payment) at the maneuvering area and the apron;

4.3.15. Identification code of Landing Tariff or Permanence Tariff billing statement at the maneuvering area and the apron;

4.3.16. Date when the Landing Tariff revenue charged was recorded in the ledger and date when the Permanence Tariff revenue at the maneuvering area and the apron was recorded in the ledger.

4.4. ARTESP shall set forth the framework and proceedings required for remitting data within a term of 45 (forty-five) days before the first RTEC and the RTAP are sent.

4.4.1. The RTEC and the RTAP shall only start to be sent after the CONCESSIONAIRE takes on the AIRPORT's operations (Stage 3 of Phase I-A).

5. AIRPORT TARIFF COLLECTION SYSTEM

5.1. The CONCESSIONAIRE shall keep in place, effective the moment it first takes on operations until the end of the CONCESSION, an AIRPORT TARIFF collection system that encompasses TARIFF collection, charging and payment processes or, instead, chooses to adhere to current collection systems.

5.2. Complying with accounting standards shall be the criteria used to record AIRPORT TARIFF revenue in the ledger, subject to each TARIFF's triggering event.

5.3. The AIRPORT TARIFF collection system shall be capable of generating the RTEC and the RTAP.

5.4. The AIRPORT TARIFF collection system shall feature a security system capable of overseeing changes made to data in the system, keeping track of users, dates, times and data changed.

5.5. The AIRPORT TARIFF collection system shall keep data for a term of no less than 5 (five) years.

5.6. TARIFFS may be collected by cash payment or retrospective payment collected straight from AIRLINES and from additional AIRPORT USERS, in accordance with the maximum deadline set by the CONCESSIONAIRE.

5.6.1. Discriminating deadlines per USER is prohibited, but only in regard to the service category rendered.

5.7. ARTESP may conduct auditing proceedings at any time, request that electronic records included in the AIRPORT TARIFF collection system be immediately exported during inspections, request that any type of document or information be submitted in order to verify its reliability, consistency and accuracy of data recorded.

5.8. For purposes of appraising the data gathering methodology, AIRPORT TARIFF collection as well as to ascertain the consistency and reliability of data reported by the CONCESSIONAIRE, ARTESP is free to reach out for dedicated services provided by an independent auditing company to be appointed, hired and compensated by the CONCESSIONAIRE, whereas ARTESP shall have the power of veto regarding the appointment made by the CONCESSIONAIRE.

5.9. All TARIFFS earned by the CONCESSIONAIRE, as well as additional TARIFF REVENUE and NON-TARIFF REVENUE, pursuant to the terms of the AGREEMENT, shall be deposited into the CENTRALIZER ACCOUNT for purposes of providing deductions due before sums are transferred to the CONCESSIONAIRE's free transaction account.